

101195-48

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/831083

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO.
PCT/DE99/03432INTERNATIONAL FILING DATE
27 Oct. 1999 (27.10.99)PRIORITY DATE CLAIMED
4 November 1998 (04.11.98)

TITLE OF INVENTION

New Expression Cassette for Expression of Arbitrary Genes in Plant Seeds

APPLICANT(S) FOR DO/EO/US

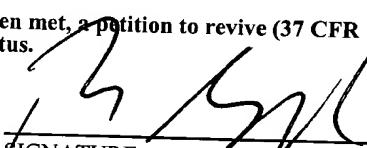
Ute Heim; and Hans Weber

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. A copy of the International Search Report (PCT/ISA/210).
8. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
9. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
16. A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. A substitute specification.
18. A change of power of attorney and/or address letter.
19. Certificate of Mailing by Express Mail
20. Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 09/83108	INTERNATIONAL APPLICATION NO. PCT/DE99/03432	ATTORNEY'S DOCKET NUMBER 101195-48																				
21. The following fees are submitted:		CALCULATIONS PTO USE ONLY																				
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :																						
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00																						
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00																						
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00																						
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00																						
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00																						
ENTER APPROPRIATE BASIC FEE AMOUNT =																						
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30			\$860.00																			
		\$130.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td>0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td>0</td> <td>x \$80.00</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (check if applicable).</td> <td><input type="checkbox"/></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="2" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS</td> <td>=</td> <td style="text-align: center;">\$990.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =	0	x \$18.00	Independent claims	- 3 =	0	x \$80.00	Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	\$0.00	TOTAL OF ABOVE CALCULATIONS		=	\$990.00	
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Total claims	- 20 =	0	x \$18.00																			
Independent claims	- 3 =	0	x \$80.00																			
Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	\$0.00																			
TOTAL OF ABOVE CALCULATIONS		=	\$990.00																			
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input checked="" type="checkbox"/>		\$495.00																				
SUBTOTAL		\$495.00																				
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 + <input type="checkbox"/>		\$0.00																				
TOTAL NATIONAL FEE		\$495.00																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>		\$0.00																				
TOTAL FEES ENCLOSED		\$495.00																				
		Amount to be: refunded <input type="checkbox"/> \$ charged <input type="checkbox"/> \$																				
<input type="checkbox"/> A check in the amount of to cover the above fees is enclosed.																						
<input checked="" type="checkbox"/> Please charge my Deposit Account No. 14-1263 in the amount of \$495.00 to cover the above fees. A duplicate copy of this sheet is enclosed.																						
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 14-1263 A duplicate copy of this sheet is enclosed.																						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
SEND ALL CORRESPONDENCE TO: the correspondence address associated with Customer No. 27387																						
 27387 <small>PATENT TRADEMARK OFFICE</small>																						
 SIGNATURE																						
Bruce S. Londa NAME _____																						
33-531 REGISTRATION NUMBER _____																						
May 3, 2001 DATE _____																						

09/831083

CERTIFICATE OF MAILING

"EXPRESS MAIL" (37 CFR 1.14)

Applicant(s): Ute Heim et al.

JCS

Docket No.
Rec'd PCT/PTO 03 MAY 2001
101195-48

Serial No. TBA	Filing Date Concurrently Herewith	Examiner TBA	Group Art Unit TBA
Invention: New Expression Cassette for Expression of Arbitrary Genes in Plant Seeds			

I hereby certify that the following correspondence:

U.S. National stage application of PCT/DE99/03432

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 2, 2001

(Date)

Kathleen D. Monical

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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